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8 UNITED STATES DISTRICT COURT
9 NORTHERN DISTRICT OF CALIFORNIA
10 SAN JOSE DIVISION
11

12 In re Application of Microsoft Corp.
13
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Case No. 06-80038 JF (PVT)

15 **MORGAN, LEWIS & BOCKIUS LLP'S**
16 **OBJECTIONS TO MICROSOFT'S**
17 **SUBPOENA DUCES TECUM FOR**
18 **PERSONAL APPEARANCE AND**
19 **PRODUCTION OF DOCUMENTS AND**
20 **THINGS**

21 Date: To Be Set
Time: To Be Set
22 Before: Magistrate Patricia V. Trumbull
Place: To Be Set

23 Complaint Filed: N/A
24 Trial Date: N/A
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Pursuant to Rules 30 and 45 of the Federal Rules of Civil Procedure, non-party Morgan, Lewis & Bockius LLP ("MLB") hereby objects to Microsoft Corporation's ("Microsoft") Subpoena for Personal Appearance and Production of Documents and Things. Due to the numerous problems with the Subpoena, MLB will not appear for deposition or produce documents in response to this Subpoena.

Counsel for Microsoft has agreed that because MLB's objections to all document requests and deposition subjects are the same, the objections may be stated once and, thereafter, incorporated by reference and it will not be deemed a waiver of any objections.

PRELIMINARY STATEMENT

Based on an *ex parte* Order from this Court, Microsoft has served a subpoena on MLB pursuant to 28 U.S.C. § 1782, which permits, but does not require, U.S. courts to "provide assistance" to litigants in foreign legal proceedings. The Court must exercise its discretion in determining whether to permit the requested discovery. The Court's discretion, however, is not boundless. The Court must make its determination pursuant to a set of factors unique to this setting, including whether the requested discovery would circumvent the policies of the relevant foreign proceeding and whether the required discovery is welcomed in the foreign tribunal.

MLB objects to Microsoft's requested discovery as outlined below. Additionally, MLB intends to file a Motion to Quash on March 15, 2006. The European Commission has also gone on record in opposition to the requested discovery, characterizing Microsoft's Application as an "attempt to circumvent" its rules and policies and something that would "seriously harm the Commission's investigatory process."

MORGAN, LEWIS & BOCKIUS, LLP'S OBJECTIONS TO MICROSOFT'S

DOCUMENT REQUESTS AND SUBJECTS FOR DEPOSITION

Pursuant to Rule 45(c)(2)(b) of the Federal Rules of Civil Procedure, Morgan, Lewis & Bockius LLP ("MLB") hereby objects and responds to the subpoena served on March 7, 2006, each document request and each deposition subject ("Requests") set forth therein, as follows:

1. MLB objects to the Requests as untimely. Microsoft intentionally delayed and, as

1 a matter of pure tactic, waited to obtain an *ex parte* subpoena without prior notice to MLB and
2 with an onerously short time to respond before the scheduled hearing. Microsoft has intentionally
3 created a false urgency by its failure to act sooner. Microsoft has had ample opportunity to seek
4 the information requested in the subpoena and, for months, has made no attempts to obtain this
5 information.

6 2. MLB objects to the Requests to the extent that the subpoena was obtained *ex parte*
7 without notice and provides for insufficient time to respond in a case of such magnitude and
8 complexity that has spanned several years. It is unreasonable to request that MLB review all
9 documents requested, create privilege logs, confidentiality and trade secret logs, and prepare for
10 testimony in the two weeks provided in the *Ex Parte* Order.

11 3. MLB objects to the Requests to the extent that they seek production of documents
12 and testimony from an interested person or party in the European Commission's ("Commission")
13 proceeding and, as such, the Commission has the ability to order discovery if so desired.

14 4. MLB objects to the Requests to the extent that Microsoft requested discovery from
15 the Commission on March 2, 2006, one day before it filed this Application, and that request is
16 pending and *sub judice* before the Commission Officer. The principles of comity require this
17 Court not to intervene.

18 5. MLB objects to the Requests to the extent that 28 U.S.C. Section 1782 authorizes,
19 but does not require, allow discovery. Per the factors set forth in *Intel Corp. v. Advanced Micro*
20 *Devices, Inc.*, 542 U.S. 241 (2004), this Court should exercise its discretion to not grant discovery
21 under Section 1782.

22 6. MLB objects to the Requests to the extent that, if granted, this Application would
23 contradict the primary purpose of Section 1782—to assist and aide a foreign tribunal. The
24 Commission is opposed to this discovery, and, as a result, if Microsoft's Application is granted,
25 this Court would not be assisting or aiding the Commission.

26 7. MLB objects to the Requests to the extent that they contradict another primary
27 purpose of 28 U.S.C. Section 1782, namely to encourage foreign tribunals to provide assistance to
28 U.S. Courts that require access to evidence located abroad. *Schmitz v. Bernstein Liebhard &*

1 *Lifshitz, LLP*, 376 F.3d 79, 84 (2d Cir. 2004). Microsoft's attempts to circumvent the interests of
2 the Commission in enforcing its laws, if allowed to stand, would discourage foreign tribunals
3 from assisting the U.S. in future foreign discovery efforts.

4 8. MLB objects to the Requests to the extent that the Commission strongly objects to
5 going forward with discovery and the information sought will not be considered by the
6 Commission at the Article 24 Hearing. Therefore, the burden and expense of the proposed
7 discovery is greatly outweighed by any potential benefit.

8 9. MLB objects to the Requests to the extent that the subpoena is specifically
9 designed to intimidate Microsoft's competitors, witnesses, and other individuals and to interfere
10 with the Commission's attempts to enforce the Commission's competition laws.

11 10. MLB objects to the Requests to the extent that they contravene the Commission's
12 rules of discovery and evidence.

13 11. MLB objects to the Requests to the extent that the subpoena is an attempt to
14 circumvent the rulings, policies, and procedures of the Commission. The subpoena is an attempt
15 to circumvent "foreign proof-gathering restrictions or other policies of a foreign country or the
16 United States." *Intel Corp.*, 542 U.S. at 264.

17 12. MLB objects to the Requests to the extent that the principles of comity bar its
18 enforcement. *Intel Corp.*, 542 U.S. at 240–42. The Commission is a regulatory and adjudicative
19 body with its own extensive rules and this court should not interfere with its proceedings.

20 13. MLB objects to the Requests to the extent that they interfere with the
21 Commission's ability to hear and process the case and undermine the Commission's efforts to
22 enforce European Community Competition laws.

23 14. MLB objects to the Requests to the extent that they undermine and interfere with
24 the European Commission's established evidence and fact gathering policies and procedures,
25 including, but not limited to, the Commission's confidentiality policies.

26 15. MLB objects to the Requests to the extent that they request the production of
27 documents and information that are within the possession, custody, or control of the Commission,
28 the Monitoring Trustee, or the OTR. The Commission should be permitted to decide, under its

own laws, as it is presently doing, whether to permit Microsoft's access to the information.

16. MLB objects to the Requests to the extent that they seek production of documents which are not relevant to the claims and defenses of Microsoft or any other party to the Article 24 hearing, as it is strictly limited to the issue of Microsoft's compliance with the March 24, 2004 Decision of the Commission. All documents and testimony relating to any matter beyond this narrow scope are irrelevant.

17. MLB objects to the Requests to the extent that they seek information concerning entities not involved in the Article 24 hearing and are therefore irrelevant.

18. MLB objects to the Requests to the extent that any information sought that is relevant to the Article 24 hearing has already been produced to Microsoft by the Commission, or is *sub judice* before the Hearing Officer, and would, therefore, be duplicative of information already provided to Microsoft.

19. MLB objects to the Requests to the extent that the documents sought were not previously provided to the Commission prior to the Commission's issuance of the Statement of Objections. Thus, as the documents requested were not relevant to the Commission's issuance of the Statement of Objections, they are, therefore, irrelevant to the Article 24 hearing.

20. MLB objects to the Requests to the extent that they seek production of documents and information that Microsoft has previously sought from the Commission and the Commission has denied such requests. Such requests impinge on the sovereignty of the Commission and attempt to circumvent the Commission's jurisdiction.

21. MLB objects to the Requests to the extent that they seek production of documents or testimony protected by the attorney-client, work product, common interest, investigatory, or any other applicable privileges. A person may not be compelled to produce documents in violation of any legally applicable privileges. *See* 28 U.S.C. § 1782. The Advisory Notes to the 1991 Amendments to Rule 45 recognize the problem of having to provide an extensive privilege log under circumstances like these and state as follows:

A person served a subpoena that is too broad may be faced with a burdensome task to provide full information regarding all that person's claims to privilege or work product protection. Such a person is entitled to protection that may be secured through an

1 objection made pursuant to paragraph (c)(2).

2
3 MLB wishes to invoke the protection of Rule 45(c)(2) under these circumstances by making such
4 an objection under this provision.

5 22. MLB objects to the Requests to the extent they seek confidential, proprietary, or
6 highly sensitive information.

7 23. MLB objects to the Requests to the extent they seek to impose on it discovery
8 obligations exceeding the scope of the Federal Rules of Civil Procedure and Local Rules of the
9 United States District Court for the Northern District of California..

10 24. MLB objects to the Requests to the extent they seek to impose on it discovery
11 obligations exceeding the scope of the rules of the Commission.

12 25. MLB objects to the Requests to the extent they are not set forth with sufficient
13 particularity, and/or are vague, ambiguous, and unlimited in scope.

14 26. MLB objects to the Requests to the extent they fail to describe the documents and
15 things sought with a reasonable degree of specificity.

16 27. MLB objects to the Definitions, Instructions, and the Requests as overly broad,
17 unduly burdensome, oppressive, and beyond the proper scope of discovery.

18 28. MLB objects to the Requests to the extent they are unduly intrusive in that they are
19 irrelevant to the Article 24 proceeding and the information sought contains confidential business
20 information.

21 29. MLB objects to the Requests to the extent they are an impermissible fishing
22 expedition and the requests are not set forth with sufficient particularity.

23 30. MLB objects to the Requests to the extent they seek unreasonably cumulative and
24 duplicative information.

25 31. MLB objects to the Requests to the extent they seek documents that are not
26 currently in MLB's possession, custody or control.

27 32. MLB objects to the Requests to the extent they seek documents that are located in
28 the territory of the Commission or in other territories outside of the United States. Section 1782

1 does not extend beyond the borders of the United States. *See Norex Petroleum, Ltd. v. Chubb Ins.*
 2 *Co. of Canada*, 384 F.Supp.2d 45, 50–55 (D.D.C. 2005).

3 33. MLB objects to the Requests to the extent that they seek documents or testimony
 4 protected by the right of privacy afforded under the laws of various states, including (but not
 5 limited to) California.

6 34. MLB objects to the Requests to the extent they seek information that is readily
 7 available to defendants through public sources and records, its own witnesses and materials,
 8 and/or directly from third parties.

9 35. MLB objects to the Requests to the extent they call for testimony constituting legal
 10 conclusions or expert opinions that are not appropriately addressed by fact witnesses.

11 36. MLB objects to the Requests to the extent that they seek inappropriate
 12 expert/opinion testimony.

13 **DOCUMENT REQUESTS**

14 **DOCUMENT REQUEST NO. 1:**

15 All documents that contain, constitute, reflect, evidence, or refer to any communication or
 16 correspondence between You and the Commission, whether on behalf of Sun, another client, or
 17 yourself, relating to the Interoperability Information or to the proper interpretation of the terms
 18 “Interoperability” or “Interoperability Information” as used in the 2004 Decision.

19 **RESPONSE TO DOCUMENT REQUEST NO. 1:**

20 MLB reasserts and incorporates each of the Objections set forth above

21 **DOCUMENT REQUEST NO. 2:**

22 All documents that contain, constitute, reflect, evidence, or refer to any communication or
 23 correspondence between You and the Monitoring Trustee, whether on behalf of Sun, another
 24 client, or yourself, relating to the Interoperability Information or to the proper interpretation of the
 25 terms “Interoperability” or “Interoperability Information” as used in the 2004 Decision.

26 **RESPONSE TO DOCUMENT REQUEST NO. 2:**

27 MLB reasserts and incorporates each of the Objections set forth above

DOCUMENT REQUEST NO. 3:

All documents that contain, constitute, reflect, evidence, or refer to any communication or correspondence between You and OTR or any other consultant retained or consulted by the Commission, whether on behalf of Sun, another client, or yourself, relating to the Interoperability Information or to the proper interpretation of the terms “Interoperability” or “Interoperability Information” as used in the 2004 Decision.

RESPONSE TO DOCUMENT REQUEST NO. 3:

MLB reasserts and incorporates each of the Objections set forth above

DOCUMENT REQUEST NO. 4:

All documents that contain, constitute, reflect, evidence, or refer to any communication or correspondence between You and any other third party, whether on behalf of Sun, another client, or yourself, relating to the Interoperability Information or to the proper interpretation of the terms “Interoperability” or “Interoperability Information” as used in the 2004 Decision.

RESPONSE TO DOCUMENT REQUEST NO. 4:

MLB reasserts and incorporates each of the Objections set forth above

DOCUMENT REQUEST NO. 5:

All documents since March 24, 2004, that contain, constitute, reflect, evidence, or refer to any communication between You and the Commission, whether on behalf of Sun, another client, or yourself, about Microsoft’s compliance or alleged failure to comply with European Community competition laws, including without limitation the 2004 Decision, the Article 24(1) Decision, or the SO.

RESPONSE TO DOCUMENT REQUEST NO. 5:

MLB reasserts and incorporates each of the Objections set forth above

DOCUMENT REQUEST NO. 6:

All documents since March 24, 2004, that contain, constitute, reflect, evidence, or refer to any communication between You and the Monitoring Trustee, whether on behalf of Sun, another client, or yourself, about Microsoft's compliance or alleged failure to comply with European Community competition laws, including without limitation the 2004 Decision, the Article 24(1) Decision, or the SO.

RESPONSE TO DOCUMENT REQUEST NO. 6:

MLB reasserts and incorporates each of the Objections set forth above

DOCUMENT REQUEST NO. 7:

All documents since March 24, 2004, that contain, constitute, reflect, evidence, or refer to any communication between You and OTR or any other consultant retained or consulted by the Commission, whether on behalf of Sun, another client, or yourself, about Microsoft's compliance or alleged failure to comply with European Community competition laws, including without limitation the 2004 Decision, the Article 24(1) Decision, or the SO.

RESPONSE TO DOCUMENT REQUEST NO. 7:

MLB reasserts and incorporates each of the Objections set forth above

DOCUMENT REQUEST NO. 8:

All documents since March 24, 2004, that contain, constitute, reflect, evidence, or refer to any communication between You and any other third party, whether on behalf of Sun, another client, or yourself, about Microsoft's compliance or alleged failure to comply with European Community competition laws, including without limitation the 2004 Decision, the Article 24(1) Decision, or the SO.

RESPONSE TO DOCUMENT REQUEST NO. 8:

MLB reasserts and incorporates each of the Objections set forth above

DEPOSITION SUBJECTS**DEPOSITION SUBJECT NO. 1:**

Any communication or correspondence between You and the Commission, whether on behalf of Sun, another client, or yourself, relating to the Interoperability Information or to the proper interpretation of the terms "Interoperability" and "Interoperability Information" as used in the 2004 Decision.

RESPONSE TO DEPOSITION SUBJECT NO. 1:

MLB reasserts and incorporates each of the Objections set forth above

DEPOSITION SUBJECT NO. 2:

Any communication or correspondence between You and the Monitoring Trustee, whether on behalf of Sun, another client, or yourself, relating to the Interoperability Information or to the proper interpretation of the terms "Interoperability" and "Interoperability Information" as used in the 2004 Decision.

RESPONSE TO DEPOSITION SUBJECT NO. 2:

MLB reasserts and incorporates each of the Objections set forth above

DEPOSITION SUBJECT NO. 3:

Any communication or correspondence between You and OTR or any other consultant retained or consulted by the Commission, whether on behalf of Sun, another client, or yourself, relating to the Interoperability Information or to the proper interpretation of the terms "Interoperability" and "Interoperability Information" as used in the 2004 Decision.

RESPONSE TO DEPOSITION SUBJECT NO. 3:

MLB reasserts and incorporates each of the Objections set forth above

DEPOSITION SUBJECT NO. 4:

Any communication or correspondence between You and any other third party, whether on behalf of Sun, another client, or yourself, relating to the Interoperability Information or to the proper interpretation of the terms "Interoperability" and "Interoperability Information" as used in the 2004 Decision.

RESPONSE TO DEPOSITION SUBJECT NO. 4:

MLB reasserts and incorporates each of the Objections set forth above

DEPOSITION SUBJECT NO. 5:

Any communications since March 24, 2004, between You and the Commission, whether on behalf of Sun, another client, or yourself, about Microsoft's compliance or alleged failure to comply with European Community competition laws, including without limitation the 2004 Decision, the Article 24(1) Decision, or the SO.

RESPONSE TO DEPOSITION SUBJECT NO. 5:

MLB reasserts and incorporates each of the Objections set forth above

DEPOSITION SUBJECT NO. 6:

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RESPONSE TO DEPOSITION SUBJECT NO. 6:

MLB reasserts and incorporates each of the Objections set forth above

DEPOSITION SUBJECT NO. 7:

Any communications since March 24, 2004, between You and OTR or any other consultant retained or consulted by the Commission, whether on behalf of Sun, another client, or yourself, about Microsoft's compliance or alleged failure to comply with European Community competition laws, including without limitation the 2004 Decision, the Article 24(1) Decision, or the SO.

RESPONSE TO DEPOSITION SUBJECT NO. 7:

MLB reasserts and incorporates each of the Objections set forth above

DEPOSITION SUBJECT NO. 8:

Any communications since March 24, 2004, between You and any other third party, whether on behalf of Sun, another client, or yourself, about Microsoft's compliance or alleged failure to comply with European Community competition laws, including without limitation the

2004 Decision, the Article 24(1) Decision, or the SO.

RESPONSE TO DEPOSITION SUBJECT NO. 8:

MLB reasserts and incorporates each of the Objections set forth above

DEPOSITION SUBJECT NO. 9:

The authenticity of the documents produced pursuant to this subpoena and the circumstances of their preparation.

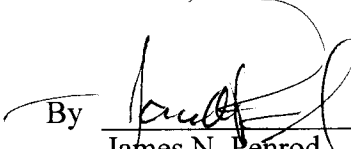
RESPONSE TO DEPOSITION SUBJECT NO. 9:

MLB reasserts and incorporates each of the Objections set forth above

Dated: March 13, 2006

MORGAN, LEWIS & BOCKIUS LLP

By


James N. Penrod
Attorneys for MORGAN, LEWIS &
BOCKIUS LLP